



## QUARTERLY COMPLIANCE REPORT ON CORPORATE GOVERNANCE

NAME OF THE COMPANY : RESINS AND PLASTICS LIMITED

QUARTER ENDING ON : 31.12.2013

|      | Particulars   | Clause of Listing agreement | Compliance Status Yes/No | Remarks                                 |
|------|---|-----------------------------|--------------------------|---|
| I.   | Board of Directors  | 49 (I)                      |                          |   |
| (A)  | Composition of Board  | 49 (I A)                    | No                       | Company is in the process of Compliance |
| (B)  | Non-executive Directors' compensation & disclosures                   | 49 (I B)                    | Yes                      | -                                       |
| (C)  | Other provisions as to Board and Committees                           | 49 (I C)                    | Yes                      | -                                       |
| (D)  | Code of Conduct   | 49 (I D) (ii)               | Yes                      | -                                       |
| II.  | Audit Committee   | 49 (II)                     |                          |   |
| (A)  | Qualified & Independent Audit Committee                               | 49 (II A)                   | Yes                      | -                                       |
| (B)  | Meeting of Audit Committee  | 49 (II B)                   | Yes                      | -                                       |
| (C)  | Powers of Audit Committee   | 49 (II C)                   | Yes                      | -                                       |
| (D)  | Role of Audit Committee   | 49 (II D)                   | Yes                      | -                                       |
| (E)  | Review of Information by Audit Committee                              | 49 (II E)                   | Yes                      | -                                       |
| III. | Subsidiary Companies  | 49 (III)                    | N.A.                     | -                                       |
| IV.  | Disclosures   | 49 (IV)                     |                          |   |
| (A)  | Basis of related party transactions                                   | 49 (IV A)                   | Yes                      | -                                       |
| (B)  | Disclosure of Accounting treatment                                    | 49 (IV B)                   | Yes                      | -                                       |
| (C)  | Board Disclosures   | 49 (IV C)                   | Yes                      | -                                       |
| (D)  | Proceeds from public issues, rights issues, preferential issues, etc. | 49 (IV D)                   | N.A.                     | -                                       |
| (E)  | Remuneration of Directors   | 49 (IV E)                   | Yes                      | -                                       |
| (F)  | Management  | 49 (IV F)                   | Yes                      | -                                       |
| (G)  | Shareholders  | 49 (IV G)                   | Yes                      | -                                       |
| V.   | CEO / CFO Certification   | 49 (V)                      | Yes                      | -                                       |
| VI.  | Report on Corporate Governance  | 49 (VI)                     | Yes                      | -                                       |
| VII. | Compliance  | 49 (VII)                    | Yes                      | -                                       |

For RESINS AND PLASTICS LIMITED

(GOBIND LULLA)  
MANAGING DIRECTOR

Date : 08-01-2014

Place : Mumbai